

Inequalities in Respiratory Health

Edited by Ian P. Sinha, Alice Lee, S. Vittal Katikireddi and Jennifer K. Quint

> Editor in Chief Peter M.A. Calverley

This book is one in a series of *ERS Monographs*. Each individual issue provides a comprehensive overview of one specific clinical area of respiratory health, communicating information about the most advanced techniques and systems required for its investigation. It provides factual and useful scientific detail, drawing on specific case studies and looking into the diagnosis and management of individual patients. Previously published titles in this series are listed at the back of this *Monograph*.

ERS Monographs are available online at books.ersjournals.com and print copies are available from www.ersbookshop.com

Editorial Board: Christian B. Laursen (Deputy Chief Editor; Odense, Denmark), Francesco Bonella (Essen, Germany), Daniela Gompelmann (Vienna, Austria), David S. Hui (Hong Kong), Holly R. Keir (Dundee, UK) and Maria Molina (Catalunya, Spain).

Managing Editor: Rachel Gozzard

European Respiratory Society, 442 Glossop Road, Sheffield, S10 2PX, UK

Tel: 44 114 2672860 | E-mail: monograph@ersnet.org

Production and editing: Caroline Ashford-Bentley, Clarissa Charles, Claire Marchant,

Catherine Pumphrey and Kay Sharpe

Published by European Respiratory Society ©2023

March 2023

Print ISBN: 978-1-84984-157-3 Online ISBN: 978-1-84984-158-0

Print ISSN: 2312-508X Online ISSN: 2312-5098

Typesetting by Nova Techset Private Limited Printed by Page Bros Group Ltd, Norwich, UK

All material is copyright to European Respiratory Society. It may not be reproduced in any way including electronic means without the express permission of the company.

Statements in the volume reflect the views of the authors, and not necessarily those of the European Respiratory Society, editors or publishers.











Contents

Ine	equalities in Respiratory Health	Number 99 March 2023
Pre	eface	vii
Guest Editors		ix
Introduction		xi
Lis	t of abbreviations	xiv
Un (derstanding the mechanisms of poverty and respiratory ill health How to read a paper about inequality in respiratory disease: basic epidemiological principles and how inequalities are measured Anna Pearce and S. Vittal Katikireddi	1
2.	What causes poverty and how does this contribute to respiratory inequality? Alice Lee, Daniel B. Hawcutt and Ian P. Sinha	11
3.	Ethnic, racial and migrant inequalities in respiratory health Holly Tibble, Luke Daines and Aziz Sheikh	26
4.	Inequalities in respiratory health based on sex and gender Xiubin Zhang, Jennifer K. Quint and Hannah Whittaker	40
5.	Air pollution and respiratory inequality: lessons from high-income countries Jonathan Grigg	51
6.	Food insecurity and respiratory ill health Elissa M. Abrams	61
7.	Housing and respiratory health: exposures, health effects and interventions Douglas Strane and Tyra Bryant-Stephens	68
8.	Tobacco, novel tobacco and nicotine products, and respiratory health Filippos T. Filippidis and Anthony A. Laverty	80
9.	Work and respiratory health: lessons from occupational lung disease Ruth E. Wiggans	89
10.	Antenatal determinants of child lung development Karl A. Holden, Melanie Gibson, Jan P. Sinha and Daniel B. Hawcutt	99

	qualities in disease and ill health: what are we observing, and what are mechanisms?	
	Inequalities in cystic fibrosis Susanna A. McColley	116
12.	Health inequality and COPD Aishath Fazleen, Anna Freeman, Alex Kong, Tommaso Morelli, Alastair Watson, Benjamin Welham and Tom Wilkinson	129
13.	Inequalities in asthma Mohammad Alsallakh, Karl A. Holden and Gwyneth Davies	141
14.	Lung cancer and inequalities in access to multidisciplinary lung cancer services Georgia Hardavella, Andriani Charpidou, Armin Frille, Emmanouil Panagiotou, Maria Joana Catarata, Edward Caruana and Torsten Gerriet Blum	153
15.	Societal drivers of antimicrobial resistance Thomas Hampton	167
16.	Inclusion health: respiratory health among people affected by social exclusion Emily J. Tweed and S. Vittal Katikireddi	180
_	lobal view of respiratory inequalities and approaches to solve these Preventable deaths from respiratory diseases in children in low- and middle-income countries Justus M. Simba, Anne Irungu, Samuel Otido, Duncan Tumwa, Samson Mugane, Resty Musigula, David Andai, Faith Atieno, Mary Nyambura and Patrick Mburugu	194
18.	Air quality in low- and middle-income countries: what is the impact on respiratory morbidity and mortality? Sathya Swarup Aithal, Vibhu Bhargava, Akorede Adekoya, Nagendra Chaudhary, Sudhir K. Tyagi and Om P. Kurmi	203
19.	Global inequalities in tuberculosis Uzochukwu Egere and Helen M. Nabwera	214
20.	Can a quality improvement approach reduce inequalities in respiratory health? Jonathan Pham, Lisa McKenzie, Lindsay Martin and C. Michael Roberts	220
21.	A human rights-based approach to equity in respiratory health Sarah J. Mayell, Ceri L. Jones and Ian P. Sinha	228
22.	Global inequalities in children with asthma Warren Lenney, Andrew Bush, Dominic A. Fitzgerald, Erick Forno, Mark L. Levy, Jianguo Hong, Indra Narang, Varinder Singh, Manuel E. Soto-Martinez and Heather Zar	236

Preface

Peter M.A. Calverley

In January 1941, President Franklin D. Roosevelt gave an address to Congress in which he outlined four basic freedoms that he believed all people everywhere had a right to expect – freedom of speech, freedom to pursue their religious beliefs, freedom from fear and freedom from want. These freedoms became the basis of the Universal Declaration of Human Rights and much subsequent legislation that is at the core of international law today. In concluding his address, Roosevelt hoped that these freedoms could be achieved within his own time. Sadly, he was mistaken.



Substantial differences still exist within and between countries, which have an enormous impact on the health and well-being of their inhabitants. It is no surprise that these socioeconomic differences influence the health of the less affluent, wherever they live, and that the burden of respiratory illness is particularly great in this group.

Respiratory physicians have known for decades that TB is especially prevalent among society's poorest people and that tobacco smoking shows a similar social gradient. However, until now, there has been no easy resource that summarises the multiple impacts of poor air quality, adverse health behaviours and economic disadvantage on respiratory health.

This gap has been filled by the ambitious and wide-ranging chapters presented in this issue of the *ERS Monograph*. Led by Ian P. Sinha, Alice Lee, S. Vittal Katikireddi and Jennifer K. Quint have gathered together a group of distinguished international contributors and rising stars in the field of health inequalities. Together they have provided new and relevant insights into the way inequalities in many settings influence the prevalence and character of respiratory health. The result is a highly original and informative volume that provides an insight into the global challenge of improving respiratory health. Whether you dip in and out or read the volume through sequentially, you are sure to learn a lot and widen your horizons in the process.

Disclosures: P.M.A. Calverley reports receiving grants, personal fees and non-financial support from pharmaceutical companies that make medicines to treat respiratory disease. This includes reimbursement for educational activities and advisory work, and support to attend meetings.

Copyright ©ERS 2023. Print ISBN: 978-1-84984-157-3. Online ISBN: 978-1-84984-158-0. Print ISSN: 2312-508X. Online ISSN: 2312-5098.

Guest Editors

Ian P. Sinha

Ian P. Sinha is a consultant respiratory paediatrician at Alder Hey Children's Hospital (Liverpool, UK) and an Honorary Associate Clinical Professor at the University of Liverpool (Liverpool). He is clinical lead for the National Asthma and COPD audit programme in the UK. His main clinical interests are neonatal lung disease and asthma, and his academic work relates to evidence-based medicine, clinical trials and health inequalities. He conducts clinics in bronchopulmonary dysplasia, structural lung disease and congenital diaphragmatic hernia.



Alice Lee

Alice Lee is a paediatric trainee in the North West of England, currently working as a clinical research and innovation fellow at Alder Hey Children's Hospital (Liverpool, UK), whilst undertaking a PhD in early years respiratory health inequalities at the University of Liverpool (Liverpool). She works with parent groups to design community models of care to address the wider determinants of health and has worked with the Royal College of Paediatrics and Child Health (London, UK) on a health inequalities tool kit. She previously completed an MA in humanitarianism and conflict response and worked as a population health fellow for Health Education England.



S. Vittal Katikireddi

S. Vittal Katikireddi is Professor of Public Health and Health Inequalities at the University of Glasgow (Glasgow, UK) and an honorary Consultant in Public Health at Public Health Scotland. His chief research interests are in improving the development and application of evidence to inform "healthy public policy": that is, harnessing how government actions outside of the NHS (such as welfare policy and economic policy) can improve health. He particularly focuses on addressing multiple dimensions of health inequalities, including socioeconomic, ethnic and gender inequalities. His research makes use of diverse methods, including social epidemiology, natural experiment studies, systematic reviews, microsimulation modelling and qualitative policy analysis.



Copyright ©ERS 2023. Print ISBN: 978-1-84984-157-3. Online ISBN: 978-1-84984-158-0. Print ISSN: 2312-508X. Online ISSN: 2312-5098.

Jennifer K. Quint



Jennifer K. Quint is a Professor of Respiratory Epidemiology in the School of Public Health and the National Heart and Lung Institute at Imperial College London (London, UK), and an Honorary Consultant Physician in Respiratory Medicine at both the Royal Brompton (London) and Imperial College London NHS Foundation Trust (London). She is a Fellow of the Higher Education Academy, the Royal College of Physicians, and of the Faculty of Clinical Informatics. Most recently she has become joint Editor-in-Chief of *Thorax*.

Jennifer uses routinely collected electronic healthcare record data to study several respiratory diseases, the most recent of which is COVID-19, and works towards maximising the quality, linkage and usage of these data for clinical and research purposes.

Introduction

Ian P. Sinha^{1,2}, Alice Lee^{2,3}, S. Vittal Katikireddi ⁶ and Jennifer K. Quint ⁶

¹Respiratory Medicine, Alder Hey Children's NHS Foundation Trust, Liverpool, UK. ²Department of Women's and Children's Health, University of Liverpool, Liverpool, UK. ³Alder Hey Children's Hospital Lab to Life Centre, Innovation Hub, Alder Hey Children's Centre, Liverpool, UK. ⁴MRC/CSO Social and Public Health Sciences Unit, University of Glasgow, Glasgow, UK. ⁵National Heart and Lung Institute, Imperial College London, London, UK.

Corresponding author: Ian P. Sinha (i.sinha@liverpool.ac.uk)

@ERSpublications

Many forms of respiratory health inequalities exist, across different social groups, health conditions and countries. This ERS Monograph provides an accessible and engaging primer for health professionals and academics on all this and more. https://bit.ly/3kBm07h

Copyright ©ERS 2023. Print ISBN: 978-1-84984-157-3. Online ISBN: 978-1-84984-158-0. Print ISSN: 2312-508X. Online ISSN: 2312-5098.

The COVID-19 pandemic brought respiratory health into the global spotlight. People with acute, life-threatening respiratory illness were the face of the pandemic, but the media also reported on health inequalities related to socioeconomic position and race, as well as the risks that come with having chronic respiratory illness. The very factors that influence respiratory health – cold and substandard housing, food poverty, air pollution, the tobacco industry, and access to care to name a few – are also front-page news and social media hot-topics that are debated and discussed by members of the public, the mainstream media, mass media, healthcare workers, healthcare leaders, policy-makers and politicians alike. It is, however, risky to consider such problems out of context. Health inequalities have been deeply engrained in our societies since long before the pandemic. We need to reflect on what has driven these inequalities, and critically review the approaches that do and do not work, if we are to begin to address them. And that is why we have produced this *Monograph*. We have brought together leading experts and up-and-coming researchers, in a collection of state-of-the-art articles discussing the drivers and consequences of respiratory inequality.

Respiratory disease is inextricably linked to poverty. In the first section of this *Monograph*, we aim to help the reader understand mechanisms of why this might be the case. It is clear that if people do not live in adequate housing [1], have access to a good diet [2], and breathe clean air [3], then their respiratory health is immediately under threat. For many millions of people around the world, however, this is their reality. Alongside these issues, the first section also considers respiratory inequalities related to sex [4], ethnicity [5] and occupation [6].

In section two we describe some examples of inequalities in respiratory disease. Some of the chapters in this section discuss specific conditions, such as cystic fibrosis [7], lung cancer [8] and asthma [9]; others discuss more broad and societal issues, such as antimicrobial resistance [10] and inclusion health [11]. What becomes clear from reading these chapters is that even though diseases have their own particular issues, there are themes common to all. It is here that

we need to strike the balance — it is important for clinicians to draw on their experience and expertise for their areas of interest; public health approaches will be broader. We need both. We must be careful that clinical approaches to health inequality aren't positioned so far downstream that some groups miss out on interventions that could benefit all people, but we also need to ensure that a population health approach does not pass certain people by because of the characteristics of their disease.

The final section, section three, focuses on certain aspects of global respiratory health and possible approaches to solving these. The global burden of illness and suffering from lung ill-health is immense, and in these chapters we touch on some of the key problems at the moment, including child health [12] and TB [13]. We also recognise that environmental health differs around the world, and this in itself will drive huge amounts of illness. We are excited to share a chapter relating to air pollution in this section [14].

We close with chapters that consider broader approaches to tackling problems of respiratory inequality – namely quality improvement [15], human rights [16] and a global strategy. The ethos of these chapters is to outline that alongside interventions discussed in other parts of the *Monograph*, we should think creatively, societally and critically about how to drive both upstream and downstream change. For example, if we wish to improve respiratory outcomes in women, we should be considering approaches through different lenses – those grounded in quality improvement at a local and national level; those which frame the issue as one of women's rights; and those which consider a global approach to the problem.

We are immensely grateful for all the hard work that has gone into the preparation of this *Monograph*. We are excited to share the chapters with you, and are sure you will find them as stimulating and informative as we did. The list of authors is geographically and professionally diverse, and the chapters are a reflection of how much expertise there is in the field of respiratory inequalities. The people who assisted with peer review have been incredibly helpful in honing these chapters, and we remain thankful for their input. The excellent team at the *ERS Monograph* have continuously offered support and advice, and we are grateful to Peter M.A. Calverley, John R. Hurst, Rachel Gozzard and Caroline Ashford-Bentley, not just for their expert guidance, but also for their persistence and organisational skills in keeping such a large project on track.

It has been a privilege to commission, read, review and edit the chapters in this *Monograph* – we hope you find them as useful and informative as we did.

References

- 1 Strane D, Bryant-Stephens T. Housing and respiratory health: exposures, health effects and interventions. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 68–79.
- 2 Abrams EM. Food insecurity and respiratory ill health. *In:* Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 61–67.
- 3 Grigg J. Air pollution and respiratory inequality: lessons from high-income countries. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 51–60.
- 4 Zhang X, Quint JK, Whittaker H. Inequalities in respiratory health based on sex and gender. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 40–50.
- 5 Tibble H, Daines L, Sheikh A. Ethnic, racial and migrant inequalities in respiratory health. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 26–39.

- 6 Wiggans RE. Work and respiratory health: lessons from occupational lung disease. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 89–98.
- 7 McColley SA. Inequalities in cystic fibrosis. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 116–128.
- 8 Hardavella G, Charpidou A, Frille A, et al. Lung cancer and inequalities in access to multidisciplinary lung cancer services. *In*: Sinha IP, Lee A, Katikireddi SV, et al., eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 153–166.
- 9 Alsallakh M, Holden KA, Davies G. Inequalities in asthma. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 141–152.
- 10 Hampton T. Societal drivers of antimicrobial resistance. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 167–179.
- 11 Tweed EJ, Katikireddi SV. Inclusion health: respiratory health among people affected by social exclusion. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 180–193.
- 12 Simba JM, Irungu A, Otido S, et al. Preventable deaths from respiratory diseases in children in low- and middle-income countries. *In*: Sinha IP, Lee A, Katikireddi SV, et al., eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 194–202.
- 13 Egere U, Nabwera HM. Global inequalities in tuberculosis. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 214–219.
- 14 Aithal SS, Bhargava V, Adekoya A, *et al.* Air quality in low- and middle-income countries: what is the impact on respiratory morbidity and mortality? *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 203–213.
- 15 Pham J, McKenzie L, Martin L, et al. Can a quality improvement approach reduce inequalities in respiratory health? *In*: Sinha IP, Lee A, Katikireddi SV, et al., eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 220–227.
- 16 Mayell SJ, Jones CL, Sinha IP. A human rights-based approach to equity in respiratory health. *In*: Sinha IP, Lee A, Katikireddi SV, *et al.*, eds. Inequalities in Respiratory Health (ERS Monograph). Sheffield, European Respiratory Society, 2023; pp. 228–235.

Disclosures: I.P. Sinha has nothing to disclose. A. Lee has received funding through NHS England and HDR UK for her work as a clinical research fellow and PhD student, and has received a travel grant from Asthma + Lung UK to present work at the ERS Congress. S.V. Katikireddi acknowledges funding from an NRS Senior Clinical Fellowship (SCAF/15/02), the Medical Research Council (MC_UU_00022/2) and the Scottish Government Chief Scientist Office (SPHSU17). J.K. Quint reports receiving the following: research grants from The Health Foundation, MRC, HDR UK, GSK, Bayer, Boehringer Ingelheim, asthma+lung, Chiesi and AstraZeneca; and personal fees for advisory board participation or speaking fees from GSK, Boehringer Ingelheim, AstraZeneca, Chiesi, Insmed and Bayer.

List of abbreviations

CO carbon monoxide

COVID-19 coronavirus disease 2019

DALYs disability-adjusted life years

ETS environmental tobacco smoke

FEV₁ forced expiratory volume in 1 s

FVC forced vital capacity
GP general practitioner
HIC high-income country
immunoglobulin

ILD interstitial lung disease
IPF idiopathic pulmonary fibrosis

LIC low-income country

LMIC low- and middle-income country

MIC middle-income country

NO nitric oxide

NO_x oxides of nitrogen NO₂ nitrogen dioxide

 O_3 ozone

PM particulate matter

SARS-CoV-2 severe acute respiratory syndrome coronavirus 2

SDG sustainable development goal

SO₂ sulfur dioxide TB tuberculosis

TRAP traffic-related air pollution
VOC volatile organic compound
WHO World Health Organization